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Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	09/266,207
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Filing Date	March 20, 1999
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First Named Inventor	England
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Group Art Unit

Examiner Name

Attorney Docket Number	MS1-282USC6
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U.S. PATENT DOCUMENTS

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Signature**

/Paula Klimach/

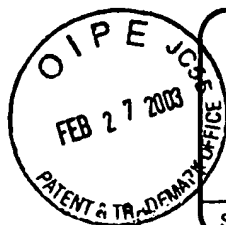
By Paula Klimach at 10:19 pm, Sep 29, 2006

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/266,207
Filing Date	March 20, 1999
First Named Inventor	England
Group Art Unit	
Examiner Name	
Attorney Docket Number	MS1-282USC8

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PwK		ARBAUGH, WILLIAM A. et al., "A Secure and Reliable Bootstrap Architecture", 1997 IEEE, pages 65-71.	
PwK		LAMPSON, BUTLER et al., "Authentication in Distributed Systems: Theory and Practice", ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, Pages 265-310.	
PwK		CLARK, PAUL C. et al., "BITS: A Smartcard Protected Operating System", Communications of the ACM, November 1994, Vol. 37, No. 11, pages 66-70, 94.	
PwK		YEE, BENNET, "Using Secure Coprocessors", School of Computer Science, Carnegie Mellon University, Pittsburgh, Pennsylvania, 1994, 104 pages.	
PwK		YOUNG, ROBBIN, "Facing an Internet Security Minefield, Microsoft Hardens NT Server Defenses", Windows Watcher, 09/12/97, Vol. 7 Issue 9, p1, 6p, 1 chart.	

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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09266207
	Filing Date		1999-03-10
	First Named Inventor	Paul England	
	Art Unit	2135	
	Examiner Name	PAULA W KLIMACH	
	Attorney Docket Number	MS1-0282USC6	

U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
PwK	1	6327660		2001-12-04	Patel			
PwK	2	7079649		2006-07-18	Bramhill, ; et al.			
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U.S.PATENT APPLICATION PUBLICATIONS								
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
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	1							<input type="checkbox"/>
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Attorney Docket Number	MS1-0282USC6

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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By Paula Klimach, at 10:14 pm, Sep 29, 2006

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

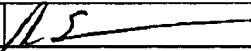
☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2000-08-24
Name/Print	Allan T. Sponseller	Registration Number	38,318

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket Number	MS1-0282USC6

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
PwK	1	5007082		1991-04-09	Cummins	
PwK	2	5349643		1994-09-20	Cox, ; et al.	
PwK	3	5557765		1996-09-17	Lipner, et al.	
PwK	4	5623637		1997-04-22	Jones, ; et al.	
PwK	5	6148083		2000-11-14	Fieres, ; et al.	

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U.S.PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

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	First Named Inventor	Paul England		
	Art Unit	2135		
	Examiner Name	PAULA W KLIMACH		
	Attorney Docket Number	MS1-0282USC6		

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-Issue number(s), publisher, city and/or country where published.	T ⁵
PwK	1	Feiertag, et al., "The Foundations of a provably secure operating system(PSOS)", California Proceedings of the National Computer Conference AFIPS Press, 1979, pp 329-334	<input type="checkbox"/>

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Art Unit	2135
Examiner Name	PAULA W KLIMACH
Attorney Docket Number	MS1-0282USC6

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Name/Print	Allan T. Sponseller	Registration Number	38318

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/266,207
				Filing Date	Mar 10, 1999
				First Named Inventor	England
				Group Art Unit	2135
				Examiner Name	KLIMACH, PAULA W
Sheet	1	of	4	Attorney Docket Number	MS1-282USC6

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
PwK		5,023,907		Johnson et al.	06-11-1991	
PwK		5,335,334		Takahashi et al.	08-02-1994	
PwK		5,491,827		Holtey	02-13-1996	
PwK		5,557,518		Rosen	09-17-1996	
PwK		5,664,016		Preneel et al.	09-02-1997	
PwK		5,671,280		Rosen	09-23-1997	
PwK		5,745,886		Rosen	04-28-1998	RECEIVED
PwK		5,757,919		Herbert et al.	05-26-1998	
PwK		5,841,869		Merkling et al.	11-24-1998	JUL 23 2004
PwK		5,872,847		Boyle et al.	02-16-1999	
PwK		5,892,902		Clark	04-06-1999	Technology Center 210
PwK		5,892,904		Atkinson et al.	04-06-1999	
PwK		5,919,257		Trostle	07-06-1999	
PwK		5,958,050		Griffin et al.	09-28-1999	
PwK		5,963,980		Coulier et al.	10-05-1999	
PwK		5,991,399		Graunke et al.	11-23-1999	
PwK		6,032,257		Olariq et al.	02-29-2000	
PwK		6,092,189		Fisher et al.	07-18-2000	
PwK		6,105,137		Graunke et al.	08-15-2000	
PwK		6,118,873		Lotspiech et al.	09-12-2000	

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		First Named Inventor	England
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		Examiner Name	KLIMACH, PAULA W
		Attorney Docket Number	MS1-282USC6
Sheet	2	of	4

NON PATENT LITERATURE DOCUMENTS			
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PwK		Arbaugh, et al., "A Secure and Reliable Bootstrap Architecture", Assumptions, pp. 1-7, December 1996.	
PwK		McKenzie, "Seybold Report on Internet Publishing, Vol. 1, No. 4, p. 6(9), December 1996.	
PwK		M. Kuhn, "The TrustNo 1 Cryptoprocessor Concept", kuhn@cs.purdue.edu, pp. 1-6, April 1997.	
PwK		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", Business Wife, Courtesy of Dialog Text Search, pp. 1-2, July 2001.	
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		JUL 23 2004	
		Technology Center 2100	

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				Attorney Docket Number	MS1-282USC6
Sheet	4	of	4		

[illegible][illegible]

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